

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent of: Steven D. Lacy et al.  
Patent No.: 7,199,809 B1  
Issued: April 3, 2007  
Confirmation No.: 2647  
For: GRAPHIC DESIGN OF COMBINATORIAL MATERIAL LIBRARIES

December 4, 2009

**REQUEST FOR EXPEDITED ISSUANCE**  
**OF CERTIFICATE OF CORRECTION UNDER 37 CFR 1.322**

TO THE COMMISSIONER FOR PATENTS,

SIR:

On studying the above-identified patent, the following errors, apparently made by the Patent and Trademark Office, were found (these errors are also noted on the attached form PTO/SB/44):

Beginning at Column 29, Line 15 through Column 36, Line 65, Claims 1 through 78 should be replaced with the following 16 claims:

1. A computer-implemented method for generating a library design for a combinatorial library of materials, comprising:

providing a graphical user interface including a workspace for designing a library of materials;

defining one or more sources and one or more destinations, each source being electronic data representing a chemical or mixture of chemicals to be used in preparing the combinatorial library and each destination being electronic data representing an arrangement of cells;

displaying a visual representation of one or more of the one or more defined destinations in the workspace of the graphical user interface, each destination representation including a

representation of one or more destination areas, each destination area including one or more cells in the corresponding arrangement;

receiving user input associating each of the one or more sources with one or more of the destination areas;

receiving user input specifying a plurality of equations and associating each of the plurality of equations with one or more of the one or more destination areas;

solving the plurality of equations to calculate one or more amounts of one or more first chemicals or mixtures of chemicals represented by the one or more defined sources to be assigned to one or more cells in the one or more arrangements represented by the one or more defined destinations, the one or more amounts of the one or more first chemicals or mixtures of chemicals to be assigned to a given cell in the one or more arrangements being calculated according to a set of equations comprising a plurality of the equations, the equations in the set of equations being associated with the area or areas that include the cell, the one or more first chemicals or mixtures of chemicals to be assigned to the given cell being determined by the one or more sources associated with the area or areas that include the cell; and

modifying the visual representation of the one or more defined destinations to include a visual indication of the one or more calculated amounts.

2. The method of claim 1, further comprising:

generating an error indicator signal if the plurality of equations cannot be solved for each cell in the one or more arrangements.

3. The method of claim 1, wherein at least one of the plurality of equations is selected from the group consisting of:

a ratio equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a

function of an amount of another chemical or mixture of chemicals to be assigned to the cell;

a volume equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a function of a total volume of a plurality of chemicals or mixtures of chemicals to be assigned to the cell; and

a mass equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a function of a total mass of a plurality of chemicals or mixtures of chemicals to be assigned to the cell.

4. The method of claim 1, wherein solving the plurality of equations comprises using matrix algebra techniques to solve the plurality of equations.

5. The method of claim 1, further comprising:

receiving an input defining a gradient mapping, the gradient mapping being electronic data defining a distribution pattern for distributing a second chemical or mixture of chemicals to cells in the one or more arrangements, the distribution pattern including a minimum and a maximum amount of the second chemical or mixture of chemicals to be assigned to any of a plurality of cells of the one or more arrangements and a gradient to be applied between the minimum and maximum amounts of the second chemical or mixture of chemicals across the plurality of cells; and

using the second mapping to calculate amounts of the second chemical or mixture of chemicals to be deposited in each of the plurality of cells;

wherein modifying the visual representation of the one or more defined destinations comprises modifying the visual representation to include a visual indication of the calculated amounts of the first and second chemicals or mixtures of chemicals.

6. A computer program product on a computer-readable medium for generating a library design for a combinatorial library of materials, the computer program product comprising instructions operable to cause a programmable processor to:

- provide a graphical user interface including a workspace for designing a library of materials;
- define a set of one or more sources and one or more destinations, each source being electronic data representing a chemical or mixture of chemicals to be used in preparing the combinatorial library and each destination being electronic data representing an arrangement of cells;
- display a visual representation of one or more of the one or more defined destinations in the workspace of the graphical user interface, each destination representation including a representation of one or more destination areas, each destination area including one or more cells in the corresponding arrangement;
- receive user input associating each of the one or more sources with one or more of the destination areas;
- receive user input specifying a plurality of equations and associating each of the plurality of equations with one or more of the destination areas;
- solve the plurality of equations to calculate one or more amounts of one or more first chemicals or mixtures of chemicals represented by the one or more defined sources to be assigned to one or more cells in the one or more arrangements represented by the one or more defined destinations, the one or more amounts of the one or more first chemicals or mixtures of chemicals to be assigned to a given cell in the one or more arrangements being calculated according to a set of equations comprising a plurality of the equations, the equations in the set of equations being associated with the area or areas that include the cell, the one or more first chemicals or mixtures of chemicals to be assigned to the given cell being determined by the one or more sources

associated with the area or areas that include the cell; and  
modify the visual representation of the one or more defined destinations to include a visual indication of the one or more calculated amounts.

7. The computer program product of claim 6, further comprising instructions operable to:  
generate an error indicator signal if the plurality of equations cannot be solved for each cell in the one or more arrangements.

8. The computer program product of claim 6, wherein at least one of the plurality of equations is selected from the group consisting of:

a ratio equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a function of an amount of another chemical or mixture of chemicals to be assigned to the cell;

a volume equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a function of a total volume of a plurality of chemicals or mixtures of chemicals to be assigned to the cell; and

a mass equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a function of a total mass of a plurality of chemicals or mixtures of chemicals to be assigned to the cell.

9. The computer program product of claim 6, wherein the instructions operable to cause a programmable processor to solve the plurality of equations comprise instructions to use matrix algebra techniques to solve the plurality of equations.

10. The computer program product of claim 6, further comprising instructions operable to:

receive an input defining a gradient mapping, the gradient mapping being electronic data defining a distribution pattern for distributing a second chemical or mixture of chemicals to cells in the one or more arrangements, the distribution pattern including a minimum and a maximum amount of the second chemical or mixture of chemicals to be assigned to any of a plurality of cells of the one or more arrangements and a gradient to be applied between the minimum and maximum amounts of the second chemical or mixture of chemicals across the plurality of cells; and

use the second mapping to calculate amounts of the second chemical or mixture of chemicals to be deposited in each of the plurality of cells;

wherein the instructions operable to cause a programmable processor to modify the visual representation of the one or more defined destinations include instructions operable to cause a programmable processor to modify the visual representation to include a visual indication of the calculated amounts of the first and second chemicals or mixtures of chemicals.

11. The method of claim 1, further comprising:

receiving user input dividing one or more of the one or more destination representations to define the destination areas.

12. The method of claim 1, further comprising:

in response to the user input specifying and associating the equations, modifying the visual representation of the one or more defined destinations to include a visual indication of the equations associated with the one or more destination areas.

13. The method of claim 1, wherein:

defining the one or more sources comprises associating one or more of the chemicals or mixtures of chemicals with a type representing a class of chemicals to be used in preparing the combinatorial library;

receiving user input specifying a plurality of equations comprises receiving user input specifying one or more of the plurality of equations as a function of the type; and

solving the equations comprises solving the equations specified as a function of the type for a given destination area by substituting the corresponding associated chemical or chemicals associated for the type.

14. The computer program product of claim 6, further comprising instructions operable to cause a programmable processor to:

receive user input dividing one or more of the destination representations to define the destination areas.

15. The computer program product of claim 6, further comprising instructions operable to cause a programmable processor to:

modify the visual representation of the one or more defined destinations in response to the user input specifying and associating the equations to include a visual indication of the equations associated with the one or more destination areas.

16. The computer program product of claim 6, wherein:

the instructions operable to cause a programmable processor to define the one or more sources comprise instructions operable to cause a programmable processor to associate one or more of the chemicals or mixtures of chemicals with a type representing a class of chemicals to be used in preparing the combinatorial library;

the instructions operable to cause a programmable processor to receive user input specifying a plurality of equations comprise instructions operable to cause a programmable processor to receive user input specifying one or more of the plurality of equations as a function of the type; and

instructions operable to cause a programmable processor to solve the equations comprise instructions operable to cause a programmable processor to solve the equations specified as a function of the type for a given destination area by substituting the corresponding associated chemical or chemicals associated for the type.



**REMARKS**

In accordance with 37 CFR 1.322, a copy of Amendment G, dated October 11, 2006, a copy of the Notice of Allowance dated November 17, 2006, a copy of the Issue Fee Transmittal dated February 13, 2007, and a copy of the email received from Marietta A. Joyce, Lead LIE with the Certificates of Correction Branch providing an Index of Claims dated October 27, 2009, are attached.

The correct number of allowed claims was confirmed by Marietta A. Joyce, Lead LIE with the Certificates of Correction Branch who received the information from Examiner Jason M. Sims on October 27, 2009.

We respectfully request that a certificate of correction be issued.

Respectfully submitted,

/Michael E. Godar/

Michael E. Godar, Reg. No. 28,416  
SENNIGER POWERS LLP  
100 North Broadway, 17th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

MEG/clp  
\*Enclosures

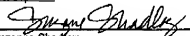
Docket No. 98-11 CIP1RCE  
PATENT

## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office at facsimile number (571) 273-8300 addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

10-11-06

BY:

  
Suzanne ShadleyRECEIVED  
CENTRAL FAX CENTER

OCT 11 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lacy et al.

Serial No.: 09/420,334

Filed: 10/18/1999

For: Graphic Design of Combinatorial  
Material Libraries

Confirmation No. 2647

Group Art Unit: 1631

Examiner: Jason M. Sims

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## AMENDMENT G

Sir:

In response to the Final Office Action dated August 28, 2006, please amend the application as follows. Amendments to the claims are requested as set forth below in the section entitled "Amendments to the Claims". Remarks relating to such amendments and responsive to the aforementioned Office action follow thereafter in the section entitled "Remarks".

Docket No. 98-11CIP1RCE  
PATENTAMENDMENTS TO THE CLAIMSRECEIVED  
CENTRAL FAX CENTER  
OCT 11 2006

This listing of claims replaces all prior versions and listings of claims in the application:

Please cancel claim 1 without prejudice.

Claim 2. Cancelled.

Please cancel claims 3-9 without prejudice.

Claims 10-11. Cancelled.

Please cancel claims 12-14 without prejudice.

Claims 15-18. Cancelled.

19. (Previously presented) A computer-implemented method for generating a library design for a combinatorial library of materials, comprising:

providing a graphical user interface including a workspace for designing a library of materials;

defining one or more sources and one or more destinations, each source being electronic data representing a chemical or mixture of chemicals to be used in preparing the combinatorial library and each destination being electronic data representing an arrangement of cells;

displaying a visual representation of one or more of the one or more defined destinations in the workspace of the graphical user interface, each destination representation including a representation of one or more destination areas, each destination area including one or more cells in the corresponding arrangement;

receiving user input associating each of the one or more sources with one or more of the destination areas;

Docket No. 98-11CIP1RCE  
PATENT

receiving user input specifying a plurality of equations and associating each of the plurality of equations with one or more of the one or more destination areas;

solving the plurality of equations to calculate one or more amounts of one or more first chemicals or mixtures of chemicals represented by the one or more defined sources to be assigned to one or more cells in the one or more arrangements represented by the one or more defined destinations, the one or more amounts of the one or more first chemicals or mixtures of chemicals to be assigned to a given cell in the one or more arrangements being calculated according to a set of equations comprising a plurality of the equations, the equations in the set of equations being associated with the area or areas that include the cell, the one or more first chemicals or mixtures of chemicals to be assigned to the given cell being determined by the one or more sources associated with the area or areas that include the cell; and

modifying the visual representation of the one or more defined destinations to include a visual indication of the one or more calculated amounts.

Claims 20-22. Cancelled.

23. (Previously presented) The method of claim 19, further comprising:  
generating an error indicator signal if the plurality of equations cannot be solved for each cell in the one or more arrangements.

24. (Previously presented) The method of claim 19, wherein at least one of the plurality of equations is selected from the group consisting of:  
a ratio equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a function of an amount of another chemical or mixture of chemicals to be assigned to the cell;  
a volume equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a function of a total volume of a plurality of chemicals or mixtures of chemicals to be assigned to the cell; and  
a mass equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a function of a total mass of a plurality of chemicals

Docket No. 98-11CIP1RCE  
PATENT

or mixtures of chemicals to be assigned to the cell.

Claims 25-26. Cancelled.

27. (Previously presented) The method of claim 19, wherein solving the plurality of equations comprises using matrix algebra techniques to solve the plurality of equations.

Claim 28. Cancelled.

29. (Previously presented) The method of claim 19, further comprising:  
receiving an input defining a gradient mapping, the gradient mapping being electronic data defining a distribution pattern for distributing a second chemical or mixture of chemicals to cells in the one or more arrangements, the distribution pattern including a minimum and a maximum amount of the second chemical or mixture of chemicals to be assigned to any of a plurality of cells of the one or more arrangements and a gradient to be applied between the minimum and maximum amounts of the second chemical or mixture of chemicals across the plurality of cells; and  
using the second mapping to calculate amounts of the second chemical or mixture of chemicals to be deposited in each of the plurality of cells;  
wherein modifying the visual representation of the one or more defined destinations comprises modifying the visual representation to include a visual indication of the calculated amounts of the first and second chemicals or mixtures of chemicals.

Claims 30-36. Cancelled.

Please cancel claim 37 without prejudice.

38. Cancelled.

Please cancel claims 39-45 without prejudice.

Docket No. 98-11CIP1RCE  
PATENT

Claims 46-47. Cancelled.

Please cancel claims 48-50 without prejudice.

Claims 51-54. Cancelled.

55. (Previously presented) A computer program product on a computer-readable medium for generating a library design for a combinatorial library of materials, the computer program product comprising instructions operable to cause a programmable processor to:

- provide a graphical user interface including a workspace for designing a library of materials;

- define a set of one or more sources and one or more destinations, each source being electronic data representing a chemical or mixture of chemicals to be used in preparing the combinatorial library and each destination being electronic data representing an arrangement of cells;

- display a visual representation of one or more of the one or more defined destinations in the workspace of the graphical user interface, each destination representation including a representation of one or more destination areas, each destination area including one or more cells in the corresponding arrangement;

- receive user input associating each of the one or more sources with one or more of the destination areas;

- receive user input specifying a plurality of equations and associating each of the plurality of equations with one or more of the destination areas;

- solve the plurality of equations to calculate one or more amounts of one or more first chemicals or mixtures of chemicals represented by the one or more defined sources to be assigned to one or more cells in the one or more arrangements represented by the one or more defined destinations, the one or more amounts of the one or more first chemicals or mixtures of chemicals to be assigned to a given cell in the one or more arrangements being calculated according to a set of equations comprising a plurality of the equations, the equations in the set of equations being associated with the area or areas

Docket No. 98-11CIP1RCE  
PATENT

that include the cell, the one or more first chemicals or mixtures of chemicals to be assigned to the given cell being determined by the one or more sources associated with the area or areas that include the cell; and

modify the visual representation of the one or more defined destinations to include a visual indication of the one or more calculated amounts.

Claims 56-58. Cancelled.

59. (Previously presented) The computer program product of claim 55, further comprising instructions operable to:

generate an error indicator signal if the plurality of equations cannot be solved for each cell in the one or more arrangements.

60. (Previously presented) The computer program product of claim 55, wherein at least one of the plurality of equations is selected from the group consisting of:

a ratio equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a function of an amount of another chemical or mixture of chemicals to be assigned to the cell;

a volume equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a function of a total volume of a plurality of chemicals or mixtures of chemicals to be assigned to the cell; and

a mass equation defining an amount of one of the first chemicals or mixtures of chemicals to be assigned to a cell as a function of a total mass of a plurality of chemicals or mixtures of chemicals to be assigned to the cell.

Claims 61-62. Cancelled.

63. (Previously presented) The computer program product of claim 55, wherein the instructions operable to cause a programmable processor to solve the plurality of equations comprise instructions to use matrix algebra techniques to solve the plurality of equations.

Docket No. 98-11CIP1RCE  
PATENT

Claim 64. Cancelled.

65. (Previously presented) The computer program product of claim 55, further comprising instructions operable to:

receive an input defining a gradient mapping, the gradient mapping being electronic data defining a distribution pattern for distributing a second chemical or mixture of chemicals to cells in the one or more arrangements, the distribution pattern including a minimum and a maximum amount of the second chemical or mixture of chemicals to be assigned to any of a plurality of cells of the one or more arrangements and a gradient to be applied between the minimum and maximum amounts of the second chemical or mixture of chemicals across the plurality of cells; and

use the second mapping to calculate amounts of the second chemical or mixture of chemicals to be deposited in each of the plurality of cells;

wherein the instructions operable to cause a programmable processor to modify the visual representation of the one or more defined destinations include instructions operable to cause a programmable processor to modify the visual representation to include a visual indication of the calculated amounts of the first and second chemicals or mixtures of chemicals.

Claims 66-91. Cancelled.

92. (Previously presented) The method of claim 19, further comprising:

receiving user input dividing one or more of the one or more destination representations to define the destination areas.

Claim 93. Cancelled.

94. (Previously presented) The method of claim 19, further comprising:

in response to the user input specifying and associating the equations, modifying the visual representation of the one or more defined destinations to include a visual



Docket No. 98-11CIPRCE  
PATENT

indication of the equations associated with the one or more destination areas.

95. (Previously presented) The method of claim 19, wherein:

defining the one or more sources comprises associating one or more of the chemicals or mixtures of chemicals with a type representing a class of chemicals to be used in preparing the combinatorial library;

receiving user input specifying a plurality of equations comprises receiving user input specifying one or more of the plurality of equations as a function of the type; and

solving the equations comprises solving the equations specified as a function of the type for a given destination area by substituting the corresponding associated chemical or chemicals associated for the type.

Claim 96. Cancelled.

97. (Previously presented) The computer program product of claim 55, further comprising instructions operable to cause a programmable processor to:

receive user input dividing one or more of the destination representations to define the destination areas.

Claim 98. Cancelled.

99. (Previously presented) The computer program product of claim 55, further comprising instructions operable to cause a programmable processor to:

modify the visual representation of the one or more defined destinations in response to the user input specifying and associating the equations to include a visual indication of the equations associated with the one or more destination areas.

100. (Previously presented) The computer program product of claim 55, wherein:

the instructions operable to cause a programmable processor to define the one or more sources comprise instructions operable to cause a programmable processor to

Docket No. 98-11CIP1RCE  
PATENT

associate one or more of the chemicals or mixtures of chemicals with a type representing a class of chemicals to be used in preparing the combinatorial library;

the instructions operable to cause a programmable processor to receive user input specifying a plurality of equations comprise instructions operable to cause a programmable processor to receive user input specifying one or more of the plurality of equations as a function of the type; and

instructions operable to cause a programmable processor to solve the equations comprise instructions operable to cause a programmable processor to solving the equations specified as a function of the type for a given destination area by substituting the corresponding associated chemical or chemicals associated for the type.

Docket No. 98-11CIP1RCE  
PATENTREMARKSRECEIVED  
CENTRAL FAX CENTER  
OCT 11 2006

Claims 1, 3-9, 12-14, 19, 23-24, 27, 29, 37, 39-45, 48-50, 55, 59-60, 63, 65, 92, 94-95, 97, and 99-100 were pending. Claims 1, 3-9, 12-14, 37, 39-45, and 48-50 are cancelled without prejudice by this Amendment. No new matter has been added. The applicant respectfully requests reconsideration of the pending claims in light of the above amendments and the following remarks

**1. Interview Summary**

The applicant thanks Examiners Jason Sims and Carolyn Smith for extending the courtesy of a telephonic interview on October 5, 2006 to address the rejections under section 112. During the interview Tim Porter, the applicant's undersigned representative, suggested that the pending claim language itself addressed the alleged indefiniteness identified in the Office action – specifically, that the statement that the chemicals or mixtures of chemicals to be assigned to a given cell are “determined by the one or more sources” is expressly modified by the immediately following phrase “associated with the area or areas that include the cell”, which establishes that it is the association between sources and areas that determines which chemicals or mixtures are assigned to which cells, and not merely the sources or even the solving of equations that does so. This is discussed in more detail below.

**2. Rejections under Section 112**

Claims 19, 23-24, 27, and 29 were rejected under 35 U.S.C. § 112 as allegedly being indefinite for failing to particularly point out and distinctly claim the subject matter which the applicant regards as the invention. Claims 23-24, 27, 29, 59-60, 63, 65, 92, 94-95, 97 and 99-100 were rejected as being dependent from a rejected claim. In particular, the Office action states that claims 19 and 55 are indefinite in their statement that “the chemicals to be assigned to the given cell are ‘determined by the one or more sources’”. Based on this statement, the applicant assumes that the rejection was intended to encompass claim 55 as well. As noted above, the applicant respectfully disagrees with the rejection.

Docket No. 98-11CIP1RCE  
PATENT

Claim 19 is directed to a computer-implemented method for generating a library design for a combinatorial library of materials. In relevant part, the claim includes the steps of "receiving user input associating each of the one or more sources with one or more of the destination areas," "receiving user input specifying a plurality of equations and associating each of the plurality of equations with one or more of the one or more destination areas," and "solving the plurality of equations to calculate one or more amounts of one or more first chemicals or mixtures of chemicals represented by the one or more defined sources to be assigned to one or more cells in the one or more arrangements represented by the one or more defined destinations." According to the claim, the amounts of chemicals or mixtures to be assigned to a given cell are calculated according to a set of equations associated with the area or areas that include the cell, while the identity of the chemicals or mixtures to be assigned to the given cell is "determined by the one or more sources *associated with the area or areas that include the cell*" (emphasis added).

The applicant submits that the italicized passage makes it clear that it is not merely the sources that determine which chemicals or mixtures are assigned to which cells in the claimed method. Rather, the chemicals or mixtures that will be assigned to a given cell are determined based on which sources have been associated with the destination area or areas that include the cell.

This is described further in the specification at, for example, page 20, lines 10-20, where it is stated that the user "may assign a component (*i.e.*, a source or sources, including a chemical or chemicals) to a header", which "causes design module 130 to assign the component represented by source icon 731 to all cells assigned to header 720 for use in equations governing those cells" (*see p. 20, lines 10-11, 13-15*). The specification further states that "the user may assign components (sources or chemicals) to one or more individual cells or groups of cells by dragging the selected component and dropping it into the desired cell or cells" (*id.*, lines 15-17).

The applicant submits that one skilled in the art would understand that the chemicals or mixtures to be assigned to a given cell in claim 19 are those that are represented by the sources that were associated with the given cell in the first "receiving user input" step, and that the claim is therefore not indefinite under Section 112. The

Docket No. 98-11CIP|RCE  
PATENT

applicant therefore respectfully requests that the rejection of claim 19 under Section 112, second paragraph, be withdrawn.

Claim 55 is a computer program product claim that includes limitations that are directly analogous to those of claim 19. Claims 23-24, 27, 29, 59-60, 63, 65, 92, 94-95, 97, and 99-100 are dependent claims based directly or indirectly on claims 19 or 55. The applicant submits that these claims are not indefinite for the reasons discussed above in the context of claim 19, and requests that the rejection of these claims under section 112 be withdrawn.

**3. Rejections under Section 102**

Claims 1, 3-7, 12-14, 37, 39-43, and 48-50 were rejected under 35 U.S.C. § 102(e) as allegedly being anticipated by U.S. Patent No. 6,165,778 ("Kedar"). Claims 1, 3-7, 12-14, 37, 39-43, and 48-50 have been cancelled without prejudice by this Amendment, rendering the rejection moot. The applicant therefore respectfully requests that the rejection under Section 102(e) be withdrawn.

**4. Rejections under Section 103**

Claims 1, 3-9, and 12-14 were rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over U.S. Patent No. 6,044,212 ("Flavin") in view of U.S. Patent No. 6,044,617 ("Schultz") and U.S. Patent No. 6,295,514 ("Agrafiotis"). Claims 1, 3-9, and 12-14 have been cancelled without prejudice by this Amendment, rendering the rejection moot. The applicant therefore respectfully requests that the rejection under Section 103(a) be withdrawn.

**5. Rejections for Obviousness-Type Double Patenting**

Claims 1, 3-9, 12-14, 19, 21, 23, 29, 37, 39-45, 48-50, 55, 91, 97, and 99-100 were rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 3-7, 9-12, 27-31, 33-36, 55-63, 65-74 and 76-104 of copending Application No. 09/174,856. Claims 1, 3-9, 12-14, 37, 39-45, and 48-50 are cancelled without prejudice by this Amendment, rendering the rejection moot as to these claims. As for the remaining claims, a terminal disclaimer is being submitted with this

Docket No. 98-11CIP1RCE  
PATENT


Amendment. The applicant therefore requests that the rejection for obviousness-type double patenting be withdrawn.

**6. Conclusion**

The applicant submits that all remaining claims are now in condition for allowance. No fees are believed to be due at this time. Please charge any fees or credits to Deposit Account 50-0496.

Respectfully submitted,

Date: 10/11/06

By:   
Timothy A. Porter  
Reg. No. 41,258  
Attorney for Applicant

Symyx Technologies, Inc.  
3100 Central Expressway  
Santa Clara, CA 95051  
Phone: (408) 720-2523; Fax: (408) 773-4029



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

22905

7590

11/17/2006

SYMYX TECHNOLOGIES INC  
LEGAL DEPARTMENT  
3100 CENTRAL EXPRESS  
SANTA CLARA, CA 95051

EXAMINER

SIMS, JASON M

ART UNIT

PAPER NUMBER

1631

DATE MAILED: 11/17/2006

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

09/420,334

10/18/1999

STEVEN D. LACY

98-11CIPRCE

2647

TITLE OF INVENTION: GRAPHIC DESIGN OF COMBINATORIAL MATERIAL LIBRARIES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$0	\$700	02/20/2007

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

## HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

# **PART B - FEE(S) TRANSMITTAL**

Complete and send this form, together with applicable fee(s), to: **Mail** **Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
 or **Fax** **(571)-273-2885**

**INSTRUCTIONS:** This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

**CURRENT CORRESPONDENCE ADDRESS** (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

22905 7590 11/17/2006

**SYMYX TECHNOLOGIES INC**  
**LEGAL DEPARTMENT**  
**3100 CENTRAL EXPRESS**  
**SANTA CLARA, CA 95051**

## **Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

09/420,334	10/18/1999	STEVEN D. LACY	98-11CIPRCE	2647
------------	------------	----------------	-------------	------

**TITLE OF INVENTION:** GRAPHIC DESIGN OF COMBINATORIAL MATERIAL LIBRARIES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$0	\$700	02/20/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
SIMS, JASON M	1631	702-019000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

2. For printing on the patent front page, list

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1 \_\_\_\_\_
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2 \_\_\_\_\_
- 3 \_\_\_\_\_

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

**PLEASE NOTE:** Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorizing to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

**NOTE:** The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature \_\_\_\_\_

Date \_\_\_\_\_

Typed or printed name \_\_\_\_\_

Registration No. \_\_\_\_\_

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.





# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/420,334	10/18/1999	STEVEN D. LACY	98-11CIPIRCE	2647

22905

7590

11/17/2006

SYMYX TECHNOLOGIES INC  
LEGAL DEPARTMENT  
3100 CENTRAL EXPRESS  
SANTA CLARA, CA 95051

EXAMINER

SIMS, JASON M

ART UNIT

PAPER NUMBER

1631

DATE MAILED: 11/17/2006

## Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

**Notice of Allowability****Application No.**

09/420,334

**Examiner**

Jason M. Sims

**Applicant(s)**

LACY ET AL.

**Art Unit**

1631

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--  
 All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to the amendment filed 10/12/2006.
2. ☒ The allowed claim(s) is/are 19,23,24,27,29,55,59,60,63,65,92,94,95,97,99 and 100.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All    b) ☐ Some\*    c) ☐ None    of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
  - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying Indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08),  
 Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413),  
 Paper No./Mail Date \_\_\_\_\_
7. ☒ Examiner's Amendment/Comment
8. ☐ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

***Examiner's Amendment***

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Tim Porter on 11/9/2006.

The application has been amended as follows:

In claim 100, line 11, the term "solving" has been deleted and the term - - solve -  
- has been substituted therefor.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jason Sims, whose telephone number is (571)-272-7540.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Andrew Wang can be reached via telephone (571)-272-0811.

Papers related to this application may be submitted to Technical Center 1600 by facsimile transmission. Papers should be faxed to Technical Center 1600 via the Central PTO Fax Center. The faxing of such papers must conform with the notices published in the Official Gazette, 1096 OG 30 (November 15, 1988), 1156 OG 61 (November 16, 1993), and 1157 OG 94 (December 28, 1993) (See 37 CFR § 1.6(d)). The Central PTO Fax Center number is (571)-273-8300.

Art Unit: 1631

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

// Jason Sims //

*John S. Brusca* 13 November 2006  
JOHN S. BRUSCA, PH.D.  
PRIMARY EXAMINER

# PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or **Fax** (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

22905 7590 11/17/2006

SYMYX TECHNOLOGIES INC  
LEGAL DEPARTMENT  
3100 CENTRAL EXPRESS  
SANTA CLARA, CA 95051

**Certificate of Mailing or Transmission**  
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being electronically filed with the USPTO (371) 273-2885, on the date indicated below.

Suzanne Shadley (Depositor's name)  
*Suzanne Shadley* (Signature)  
February 13, 2007 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/420,334	10/18/1999	STEVEN D. LACY	98-11CIPRCE	2647

TITLE OF INVENTION: GRAPHIC DESIGN OF COMBINATORIAL MATERIAL LIBRARIES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES - No	\$700 \$1,400	\$0	\$0	\$700-\$1,400	02/20/2007
EXAMINER	ART UNIT	CLASS-SUBCLASS				
SIMS, JASON M	I631	702-019000				

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47, Rev 03-02, or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 \_\_\_\_\_  
2 \_\_\_\_\_  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Symyx Technologies, Inc.

Santa Clara, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0456 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☒ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Ronald A. Krasnow*

Date February 13, 2007

Typed or printed name Ronald A. Krasnow

Registration No. 33,321

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application data to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## Christie Paddock

From: Joyce, Marietta [Marietta.Joyce@USPTO.GOV]  
 Sent: Tuesday, October 27, 2009 10:39 AM  
 To: Christie Paddock  
 Subject: FW: IFW-Index of Claims.doc

From: Sims, Jason M. (AU1631)  
 Sent: Tuesday, October 27, 2009 11:24 AM  
 To: Joyce, Marietta  
 Subject: IFW-Index of Claims.doc

Index of Claims				Application/Control No.		Applicant(s)/Patent under Reexamination	
<b>*09420334*</b>				09/420,334		LACY ET AL.	
				Examiner		Art Unit	
				Jason M. Sims		1631	

<input checked="" type="checkbox"/> Rejected <input type="checkbox"/> Allowed	- (Through numeral) Cancelled + Restricted	N Non-Elected I Interference	A Appeal O Objected
--	--	---------------------------------	------------------------

Claim		Date		Claim		Date		Claim		Date	
Final	Original			Final	Original			Final	Original		
2				58				101			
2				62				102			
5				58				101			
4				54				101			
5				58	*			101			
6				58				101			
4				87				102			
2				59				101			
5				58	*			109			
70				80	*			119			
11				87				111			
13				62				112			
13				87	*			118			
13				54				181			
18				85	*			118			
16				86				116			
17				87				181			
18				88				18			
19	*			58				119			
20				75				125			
21				71				121			
22				72				182			
23	*			78				125			
24	*			78				121			
25				75				125			
26				78				128			
27	*			72				121			
28				79				129			
29	*			78				125			
30				60				181			
31				58				181			
32				62				181			
33				87				181			
34				54				124			
35				85				138			
36				86				119			
37				87				124			
38				88				135			
39				18	*			138			
40				80				142			
41				91				143			
42				92	*			142			
43				93				143			
44				84	*			144			
45				95	*			145			
46				96				146			
47				97	*			147			
48				98				148			
49				98	*			149			
50	*			100	*			150			

U.S. Patent and Trademark Office

Part of Paper No. 20081109